

JOINT INDEPENDENT AUDIT COMMITTEE
(WEST YORKSHIRE COMBINED AUTHORITY AND WEST YORKSHIRE POLICE)

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| DATE OF MEETING: | 13 OCTOBER 2022 (reports required 30 September 2022) |
| TIME: | 14:00 – Member pre-meet with Internal and External Audit 14:30 – Audit Committee 17:00 – Close |
| LOCATION: | Conference Room A/B, West Yorkshire Police HQ, Laburnum Road, Wakefield, WF1 3QS |
| MEMBERS: | Mike Ford, Chair Jeff Colley, Member Julie Winham, Member |
| OFFICERS: | Carol Beanland, Business Support Lead, WYCA Joanne Campbell, Internal Audit Manager, WYP Mark Dalton, Director, Public and Social Sector, Mazars Julie Edwards, Head of Accountancy, WYP Nicola Hallas, Manager, Mazars Katherine Johnson, ACO, WYP Beverley Nichol, Head of Risk Management & Insurance, WYP Julie Reid, Head of Policing and Crime, WYCA Neil Rickwood, Head of Audit, Risk and Assurance, WYP Angela Taylor, Director of Corporate Services, WYCA |
| CONTACT OFFICER: | Carol Beanland, Business Support Lead, WYCA Carol.beanland@westyorks-ca.gov.uk |

AGENDA

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| 1. Apologies | To note | Carol Beanland |
| 2. Declaration of Interests | To note | Mike Ford |
| 3. Record of Attendance (attached) | To note | Carol Beanland |
| 4. Minutes – 18 March 2022 (attached) | To approve | Mike Ford |
| 5. Matters Arising | To note | Mike Ford |
| 5.1 MoU in relation to Internal Audit to be changed to show ultimate responsibility to the Mayor and Chief Constable (verbal update) | | Angela Taylor |
| 5.2 Review of whether penetration testing results can be fed into the Internal Audit work plan (verbal update) | | Neil Rickwood |
| 5.3 Penetration testing assurance to be included as an annual agenda item (verbal update) | | Julie Reid |
| 5.4 Structured review and appraisal process for committee members to be implemented (attached) | | Julie Reid |
| 5.5 Report on Home Office lobbying through NPCC, with regards to future funding (verbal report) | | Angela Taylor |
| 5.6 Update on treasury management arrangements for the Force and the Policing and Crime Team to be added to the October agenda (below). | | Julie Reid |
| 5.7 Confirmation of what the £330 security scheme sponsorship record relates to (verbal report) | | Katherine Johnson |
| 6. Chair's Update (verbal report) | To note | Mike Ford |
| 7. Business Updates | To note | |
| (a) WYP (verbal report) | | Katherine Johnson |
| (b) Policing and Crime Team (verbal report) | | Julie Reid/Angela Taylor |
| 8. Force Budget Planning (attached) | To note | Katherine Johnson |
| 9. Treasury Management Report (attached) | To note | Angela Taylor |
| 10. Risk Management Updates | | Beverley Nichol |
| (a) Policing and Crime Team (attached) | To recommend | |
| (b) WYP (attached) | To recommend | |
| 11. Internal Audit Report, including Annual Report and Opinion | To note | Neil Rickwood |
| (a) Internal Audit Progress Report (attached) | | |
| (b) Follow up Audit Report (attached) | | |
| (c) Internal Audit Annual Report (attached) | | |
| (d) EQA Quality Assurance & Assessment Plan (attached) | | |
| 12. External Audit Report | To note | Mark Dalton |
| 13. Tender Exceptions Report | To note | Katherine Johnson |
| 14. Audit Committee Terms of Reference (A) and Annual Workplan (B) (attached) | To note | Julie Reid |
| 15. AOB | | All |
| 16. Review of Actions | To note | Carol Beanland |